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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/635,752	
	Filing Date	August 5, 2003	
	First Named Inventor	Vincent A. Larsen	
	Group Art Unit Number	2131	
	Examiner Name	Ayaz R. Sheikh	
Total Number of Pages in This Submission	6*	Attorney Docket Number	24089-09281

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REMARKS: *Page count does not include cited references.

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Signature:			
Attorney/Reg. No.:	Pierre Keeley, Reg. No. 57,045	Dated:	3/27/06

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I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Vincent A. Larsen
APPLICATION NO.: 10/635,752
FILING DATE: August 5, 2003
TITLE: Process Based Security System Authentication System and Method
EXAMINER: Ayaz R. Sheikh
GROUP ART UNIT: 2131
ATTY. DKT. NO.: 24089-09281

CERTIFICATE OF MAILING

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By: Pierre Keeley
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained

in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
VINCENT A. LARSEN

Dated: 3/27/06

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> APR 03 2006 <small>PTO & TRADEMARK OFFICE</small> </div>		Complete if Known	
Application No.		10/635,752	
Filing Date		August 5, 2003	
First Named Inventor		Vincent A. Larsen	
Art Unit		2131	
Examiner Name		Ayaz R. Sheikh	
Attorney Docket Number		24089-09281	

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of
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U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,779,117	08-17-2004	Wells
	A2	US-6,745,332	06-01-2004	Wong et al.
	A3	US-6,606,695	08-12-2003	Kamano et al.
	A4	Us-2002/0162013 A1	10-31-2002	Bumett et al.
	A5	US-6,381,694	04-30-2002	Yen
	A6	US-2002/0026592 A1	02-28-2002	Gavrila et al.
	A7	US-2001/0056494 A1	12-27-2001	Trabelsi
	A8	US-6,282,618	08-28-2001	Flenley
	A9	US-6,249,867	06-19-2001	Patel
	A10	US-6,185,696	02-06-2001	Noll
	A11	US-6,064,736	05-16-2000	Davis et al.
	A12	US-6,061,790	05-09-2000	Bodnar
	A13	US-6,038,562	03-14-2000	Anjur et al.
	A14	US-5,826,242	10-20-1998	Montulli
	A15	US-5,805,882	09-08-1998	Cooper et al.
	A16	US-5,793,943	08-11-1998	Noll
	A17	US-5,684,951	11-04-1997	Goldman et al.
	A18	US-5,666,415	09-09-1997	Kaufman
	A19	US-5,572,694	11-05-1996	Uchino
	A20	US-5,327,531	07-05-1994	Bealkowski et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/635,752
				Filing Date	August 5, 2003
				First Named Inventor	Vincent A. Larsen
				Art Unit	2131
				Examiner Name	Ayaz R. Sheikh
Sheet	2	of	2	Attorney Docket Number	24089-09281

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	C1	International Search Report, PCT/US03/02716, April 4, 2003, 4 pages.	
	C2	Written Opinion, PCT/US03/02716, November 11, 2003, 5 pages.	
	C3	PARK, J.S. et al., "Role-Based Access Control on the Web," ACM Transactions on Information and System Security, pp. 37-71, February 2001, vol. 4, no. 1.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
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